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App oved for use through 10/31/2002. OMB 0651-0031

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Applicati n Number 09/812.075 **TRANSMITTAL** March 19, 2001 Filing Date **FORM** First Named Invent r ARNOLD, ROCKY R. (to be used for all correspondence after initial filing) **Group Art Unit** 1763 **Examiner Name** Unassigned

Total Number of Page	s in This Submission	1	Attorne	ey Docket Number	020843000620		
		ENCL	OSURES	ុំcheck all that apply)			
Fee Transmittal Form		Assignment Papers (for an Application)			After Allowance Communication to Group		
Fee Attached	ı	☐ Drawin	ıg(s)		Appeal Communication to Board of Appeals and Interferences		
Amendment / Res	sponse	Licens	ing-related	Papers	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)		
After Final		Petition Routing Slip (PTO/SB/69) and Accompanying Petition			Proprietary Information		
Affidavits/dec	claration(s)		n to Conve ional Appl		Status Letter		
Extension of Time	e Request	Power of Attorney, Revocation Change of Correspondence Address			Other Enclosure(s) (please identify below):		
Express Abandor	nment Request		al Disclai		Return Postcard Copy of Notice to File Missing Parts		
Information Discle	Information Disclosure Statement		umber of C	CD(s)			
Certified Copy of Document(s)	Priority	Rema	rks	The Commissioner is Deposit Account 20-14	authorized to charge any additional fees to 430.		
Response to Miss							
Response to Parts under 3 1.52 or 1.53							
	SIGNA	TURE OF	APPLICA	ANT, ATTORNEY, O	R AGENT		
Firm	Townsend and Tov	wnsend and Crew LLP					
and Individual name	Craig P. Wong			Reg No.	. 45,231		
Signature	Craig P	. Wor	~9				
Date	August 8, 2001		!				
		CE	RTIFICA	TE OF MAILING			

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: August 8, 2001

Typed or printed name Kimberly Badiei

Signature

Date

August 8, 2001

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PA 3162341 v1

PTO/SB/17 (09-00)

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		for	FY	200	1		

Patent fees are subject to annual revision.

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TOTAL AMOUNT OF PAYMENT

Complete if Known					
Application Number	09/812,075				
Filing Date	March 19, 2001				
First Named Inventor	ARNOLD, ROCKY R.				
Examiner Name	Unassigned				
Group Art Unit	1763	:			
Attorney Docket No.	020843-000620US				

	METHOD	OF PAYMENT					FEE C	ALCULATION (continued)	
1 🛛		oner is hereby authorized to cha		3. ADD	ITIONAL	FEES			
1. 🖾	indicated fees	and credit any over payments to	:0:	Large	Entity	Small	Entity		
Deposit				Fee	Fee	Fee	Fee	Fee Description	Fee
Account	20-1430			Code	(\$)	Code	(\$) CE	· ·	Paid
Number				105	130 50	205	65	Surcharge - late filing fee or oath	65
Deposit				127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
Account	Townsend and	Townsend and Crew LLP		139	130	139	130	Non-English specification	
Name				147	2,520	147	2,520	For filing a request for reexamination	
	Additional Fee F FR 1.16 and 1.1			112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
Applicant of See 37 CF	laims small entity R 1.27	status.		113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
	t Enclosed:			115	110	215	55	Extension for reply within first month	
	_			116	390	216	195	Extension for reply within second	
☐ Check	☐ Credit card	☐ Money ☐ Other	•					month	
		Order		117	890	217	445	Extension for reply within third month	
1. BASIC FIL		ALCULATION		118	1,390	218	695	Extension for reply within fourth month	
Large Entity S				128	1,890	228	945	Extension for reply within fifth month	
· ·	•	Fee Description		119	310	219	155	Notice of Appeal	
	ode (\$)		e Paid	120	310	220	155	Filing a brief in support of an appeal	
101 710 2	01 355 (Utility filing fee 355	5	121	270	221	135	Request for oral hearing	
		Design filing fee		138	1,510	138	1,510	Petition to institute a public use	-
		Plant filing fee		140	110	240	55	proceeding Petition to revive – unavoidable	
		Reissue filing fee		141	1.240	241	620		
114 150 2	14 75 1	Provisional filing fee		142	1,240	241	620	Petition to revive – unintentional	
	SUBTOTAL	. (1)	355	142	440	242	220	Utility issue fee (or reissue)	
				144	600	243	300	Design issue fee	
2. EXTRA CLAIM					130		130	Plant issue fee	
			Fee	122	130	122	130	Petitions to the Commissioner	
Total Claims 37			Paid \$153	123	50	123	50	Petitions related to provisional applications	
Independent Claims 4	-3** = 1	X \$40 = \$	\$40	126	180	126	180	Submission of Information Disclosure Stmt	
Multiple Dependent		x =		581	40	581	40	Recording each patent assignment per property (times number of properties)	
1 -	Small Entity Fee Fee	,		146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
Fee Fee Code (\$)	Code (\$)	Fee Description		149	710	249	355	For each additional invention to be	
103 18	203 9	Claims in excess of 20						examined (37 CFR § 1.129(b))	
102 80	202 40	Independent claims in excess		179	710	279	355	Request for Continued Examination (RCE)	
104 270	204 135	Multiple dependent claim, if n		169	900	169	900	Request for expedited examination	
109 80	209 40	** Reissue independent claim original patent		105	500	103	900	of a design application	
110 18	210 9	** Reissue claims in excess of over original patent	of 20 and	Other fe	e (specify	/)			
	su	JBTOTAL (2) (\$)193			mmissior ve noted			ed to charge any additional fees to unt.	
				*Reduc	ed by Ba	sic Filing	Fee Pa	eid SUBTOTAL (3) (\$)65	
**or number previous	sly paid, if greater;	For Reissues, see above							

SUBMITTED BY Complete (if applicable)						
Name (Print/Type)	Craig P. Wong	Registration No. (Attorney/Agent)	45,231	Telephone	650-326-2400	
Signature	Craig P.	Wona		Date	August 8, 2001	





TENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231 www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/812,075

03/19/2001

Rocky Arnold

20843000620

CONFIRMATION NO. 8986

20350 TOWNSEND AND TOWNSEND AND CREW TWO EMBARCADERO CENTER **EIGHTH FLOOR** SAN FRANCISCO, CA 94111-3834

FORMALITIES LETTER OC000000006167619*

Date Mailed: 06/11/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

08/15/2001 SMINASS1 00000051 201430

09812075

FILED UNDER 37 CFR 1.53(b)

01 FC:201 02 FC:203 03 FC:202

04 FC:205

355.00 CH

153.00 CH

40.00 CH

Filing Date Granted

65.00 CH An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$193.
 - \$153 for 17 total claims over 20.
 - \$40 for 1 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 613.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE